

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: :

A. SOTO-NICOLAS :

Serial No.: **To Be Assigned** :

Filed: **Herewith** :

For: ***Sound Generating Transducer***

Director of the U.S. Patent and Trademark Office
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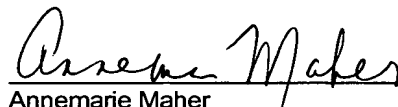
INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith references of which they are aware, which they believe may be material to the examination of this application and in respect of which they may have a duty to disclose in accordance with 37 CFR §1.56.

While this Information Disclosure Statement (IDS) may be "material" pursuant to 37 CFR §1.56(b), it is not intended to constitute an admission that any document referred to herein is "prior art" for this invention unless specifically designated as such.

I hereby certify that this correspondence is being deposited with the United States Postal Service on this date, October 31, 2003, in an envelope marked as, and with sufficient postage as, "Express Mail – Post Office to Addressee," Express Mail Label No. EV 303711952 US, addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

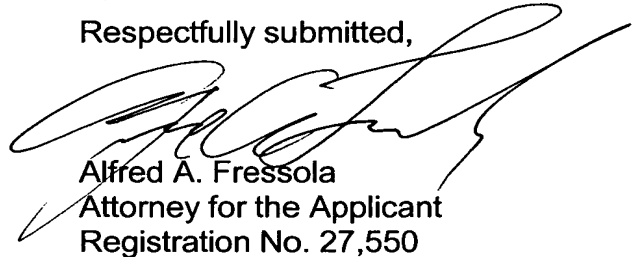

Annemarie Maher

In accordance with 37 CFR §1.97(g), the filing of this IDS shall not be construed to mean that a search has been made or that no other material information as defined under 37 CFR §1.56(a) exists.

Enclosed is a Form PTO-1449 listing the cited references. Copies of the cited references are not enclosed herewith (pursuant to the waiver of the USPTO requirement to submit copies of U.S. patent references). The relevance of each reference is specifically explained in the application specification; and the abstract of each reference provides a concise explanation thereof.

This IDS is being submitted simultaneously with the application for patent in this matter; therefore, the undersigned respectfully submits that no fee is due for filing this IDS. The Commissioner is hereby authorized to charge to deposit account 23-0442 any fee deficiency required to submit this IDS.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Alfred A. Fressola', is written over the typed name and title.

Alfred A. Fressola
Attorney for the Applicant
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Dated: October 31, 2003

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FORM PTO-1449
INFORMATION DISCLOSURE STATEMENT

ATTY DOCKET NO.

915-005.075

SERIAL NO.

T Be Assigned

APPLICANT: A. SOTO-NICOLAS

FILING DATE:

Herewith

ART UNIT:

To Be Assigned

UNITED STATES PATENT DOCUMENTS

EXAM. INITIAL		DOCUMENT NUMBER	DATE	INVENTOR/ASSIGNEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,532,292	Mar. 11, 2003	<i>Lygas</i>			
		5,132,942	July 21, 1992	<i>Cassone</i>			
		3,978,353	Aug. 31, 1976	<i>Kinoshita</i>			
		3,859,477	Jan. 07, 1975	<i>Skvor</i>			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

Examiner (To be assigned)

Date: